Ohio Lottery Commission
Technology Provider Fine Schedule

The Ohio Lottery has established rules and regulations establishing the framework for video lottery sales are racetrack locations. Violation of those rules and regulations may subject Technology Providers to sanctions including suspension or revocation of licenses or the levying of fines.

OAC 3770:2-5-06 Technology provider licenses; suspension or revocation.
(A) Suspension or revocation. A technology provider license may be suspended or revoked as set forth in this rule:

(1) Non-compliance with Lottery Act, rules, regulations, policies, or directives. The director may suspend or revoke a license of a technology provider who does not comply with the Lottery act, all rules, terms and conditions, policies, orders and directives adopted, promulgated or issued by the commission or the director

OAC 3770:2-5-07 Technology provider licenses, fines.
(B) Fines. Any suspension or revocation under this section may be in addition to or in lieu of the imposition of a fine under rule 3770:2-5-06 of the Administrative Code.

(C) Schedule of fines. The director may establish a schedule of fines that may be imposed pursuant to the authority set forth herein. Said schedule, and any amendments or revisions thereto, shall be approved by the director and made available to video lottery sales agents prior to imposition of monetary fines hereunder. Nothing contained on a fine schedule established by the director for technology provider licensees shall mandate imposition of a fine, but in the event that fines are imposed, the technology provider fine schedule in effect at the time of the event leading to a determination that a fine shall be imposed, shall be applicable.

(D) Right to hearing. When required to do so by the Administrative Procedure Act, the director shall afford a hearing to a technology provider licensee affected by an order imposing a fine.

Violation	Fine (maximum per occurrence)	OAC 3770 (Reference)
Making a fraudulent representation in connection with a technology provider application or the technology provider licensee's conduct. OAC 3770:2-5-06 (d)	$25,000	**3770:2-5-06 Technology providers licenses; suspension or revocation.** (A) Suspension or revocation. A technology provider license may be suspended or revoked as set forth in this rule: (1) Non-compliance with Lottery Act, rules, regulations, policies, or directives. The director may suspend or revoke a license of a technology provider who does not comply with the Lottery act, all rules, terms and conditions, policies, orders and directives adopted, promulgated or issued by the commission or the director including but not limited to the following: (d) Making a fraudulent representation in connection with a technology provider application or the technology provider licensee's conduct;
Failure to maintain adequate and sufficient security for video lottery terminals or video lottery games up to the point of accepted delivery by	$10,000	**3770:2-5-06 (A) (1) Technology providers licenses; suspension or revocation.** (e) Failure to maintain adequate and sufficient security for

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the VLSA. OAC 3770:2-5-06 (e)		video lottery terminals or video lottery games provided in the state
Providing or participating in the installation of a video lottery game that has not been approved by the director or commission. OAC 3770:2-5-06 (f)	$25,000	**3770:2-5-06(A) (1) Technology providers licenses; suspension or revocation.** (f) Providing or participating in the installation of a video lottery game that has not been approved by the director or commission, as applicable.
Providing or participating in the installation of devices for the sale of video lottery at a facility not approved for a video lottery license or providing or participating in the installation of devices for the sale of video lottery at a facility approved for a video lottery license other than video lottery terminals authorized and approved by the director for use in connection with video lottery. OAC 3770:2-5-06 (g)	$25,000	**3770:2-5-06 (A) (1) Technology providers licenses; suspension or revocation.** (g) Providing or participating in the installation of devices for the sale of video lottery at a facility not approved for a video lottery license or providing or participating in the installation of devices for the sale of video lottery at a facility approved for a video lottery license other than video lottery terminals authorized and approved by the director for use in connection with video lottery.
Failure to provide any required notice or failure to obtain any required approval prior to transportation of a video lottery terminal within or out of the State. OAC 3770:2-5-06 (h)	$10,000	**3770:2-5-06 (A) (1) Technology providers licenses; suspension or revocation.** (h) Failure to provide any required notice or failure to obtain any required approval prior to relocation or transportation of a video lottery terminal.
Failure to adhere to all the terms and conditions as set forth in the licensing agreement, including any amendments or modifications. OAC 3770:2-5-06 (j)	$10,000	**3770:2-5-06 (A) (1) Technology providers licenses; suspension or revocation.** (j) Failure to adhere to all the terms and conditions as set forth in the licensing agreement, including any amendments or modifications thereto.
If the director has determined that a technology provider should be subjected to a criminal background check and are convicted of any of the offenses or any other criminal violation which as determined by the director may negatively impact the integrity of the lottery. OAC 3770:2-5-06 (j) (2)	$10,000	**3770:2-5-06 (A) (1) Technology providers licenses; suspension or revocation.** (j) Failure to adhere to all the terms and conditions as set forth in the licensing agreement, including any amendments or modifications thereto. (2) The director may suspend or revoke a technology provider license if any person who the director has determined should be subjected to a criminal background check are convicted of any of the offenses set forth in divisions(C)(1) to (C)(5) and (E)(1) to (E)(2) of Section 3770.05 of the Revised Code, or any other criminal violation which as determined by the director may negatively impact the integrity of the lottery.
A technology provider who fails to inform the OLC of incidents, i.e.	$10,000	**3770:2-5-06 (A) (1) Technology providers licenses; suspension or revocation.**

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software revocations, in other jurisdictions which may impact the functioning of machines in Ohio. OAC 3770:2-5-06 (i) (j)		(i) Acting in a manner that impacts or has the likelihood of impacting the efficient operation or integrity of video lottery; and (j) Failure to adhere to all the terms and conditions as set forth in the licensing agreement, including any amendments or modifications thereto.
A technology provider who fails to notify the OLC promptly regarding identified errors, problems or weaknesses in the software set. The notification should include: An identification of impacted markets, an identification of impacted software, and a description of the incident, recommended remedies and a contact for further information. OAC 3770:2-5-06 (i) (j)	$10,000	**3770:2-5-06 (A) (1) Technology providers licenses; suspension or revocation.** (i) Acting in a manner that impacts or has the likelihood of impacting the efficient operation or integrity of video lottery; and (j) Failure to adhere to all the terms and conditions as set forth in the licensing agreement, including any amendments or modifications thereto.